



# PAUL

Pilot Application in Urban Landscapes  
towards integrated city observatories for greenhouse gases

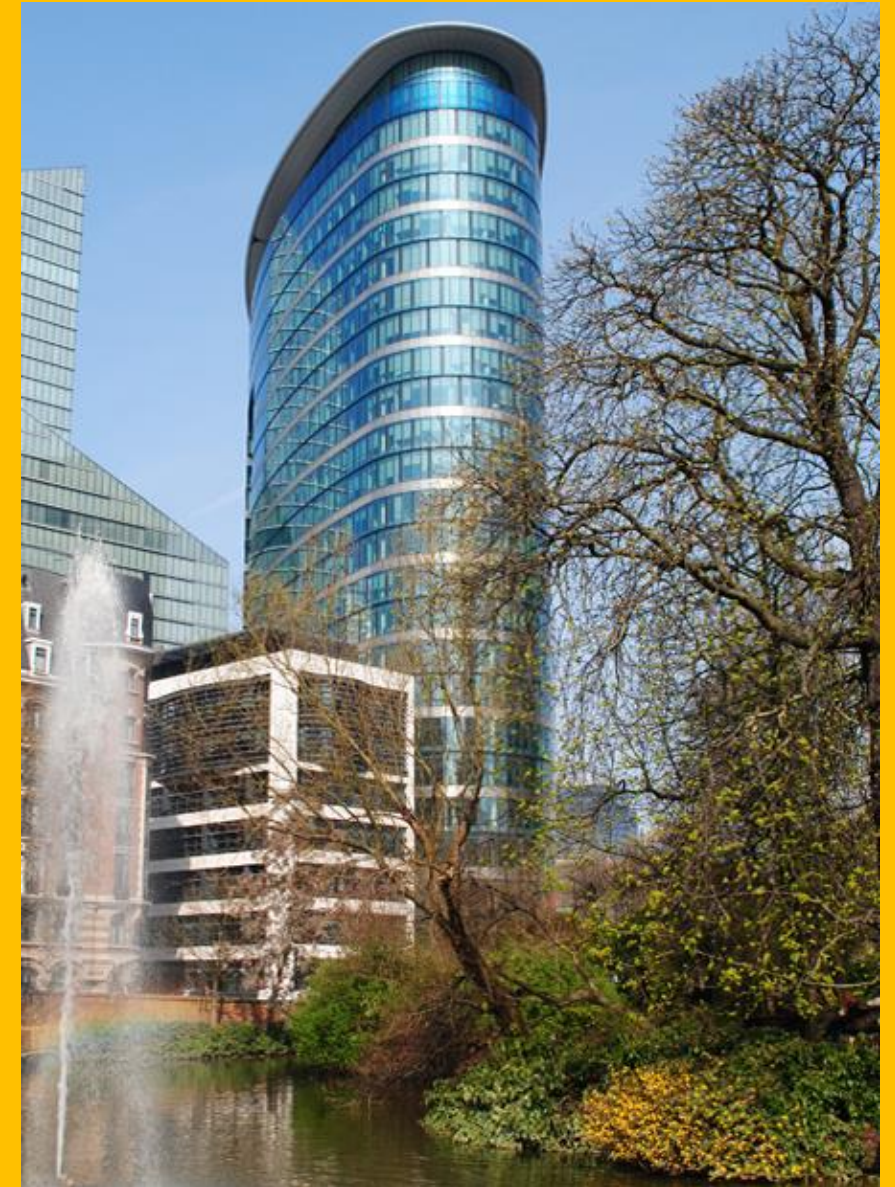
RIA

Kick-off meeting  
LC-GD-9-1-2020

*Jimena ARANGO MONTANEZ*  
*REA C.4*

# Content

- **Role distribution**
- **Project Implementation**
  - Reporting
  - Audits
  - Communication, Dissemination, Exploitation & Open Access
  - Policy Feedback



# Role distribution

# Role Distribution



## DG Research and Innovation

- > Definition of Policies
- > Drafting the Work Programme



## Research Executive Agency (REA)

'Spreading Excellence, Widening Participation, Science With And For Society', **Unit B5**

- > Implementation of calls for proposals
- > Grant Management



## Coordinator

- > **Contact point for REA**
- > Represents the consortium towards the REA

# Project Implementation



# Project lifecycle



# Reporting

# Periodic reporting: Reviews



**Reviews** are planned at the **end** of each reporting **period** (2-3 months after). Article 22 of the Grant Agreement



**Present the work carried out, main achievements and use of resources.**

Participation of the **coordinator**, participation of **WP leaders**.



REA is **assisted by external expert(s)**



# Audits

# Audits



**Audits** can be carried out **during** the entire **lifetime** of the **project**, by the European Commission, **not later than 2 years after** the payment of the balance.

Two types of audits: **financial** and/or **technical audits**



**Keeping records:** Keep a **clear account of the project activities** during the reporting period (Article 18 Grant Agreement).

Beneficiaries must keep **records and other supporting documentation** in order to prove the proper implementation and the costs declared as eligible.

Communication,  
Dissemination,  
Exploitation,  
Open Access

# 3 steps towards impact

## Communication Art. 38

- Promotion towards **multiple audiences**
- From the beginning of the project
- Best effort obligation

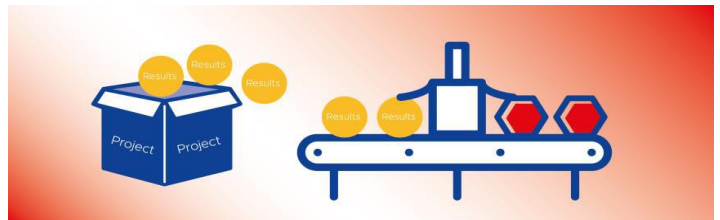
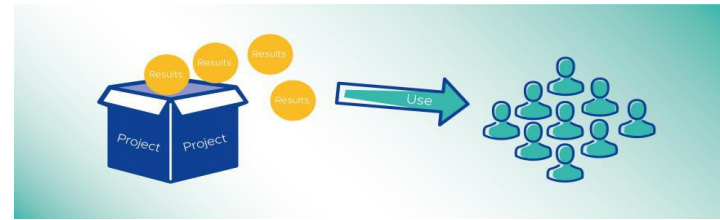
## Dissemination Art. 29

- Promotion towards **stakeholders/potential users**
- When first results are available
- Best effort obligation linked to art 43

## Exploitation Art. 28

- Use of the project results
- As soon as ready
- Best effort obligation linked to art 43

In the Proposal: chapter 2.2  
« Measures to maximise the impact »



# Communication and Dissemination

Communication	Dissemination
About the <b>project</b> and <b>results</b>	About <b>results only</b>
<b>Multiple audiences</b> <i>Beyond the project's own community (include the media and the public)</i>	<b>Audiences that may use the results</b> in their own work <i>e.g. peers (scientific or the project's own community), industry and other commercial actors, professional organisations, policymakers</i>
<b>Inform</b> and <b>reach out to society</b> , show the benefits of research	<b>Enable use</b> and <b>uptake</b> of <b>results</b>
Grant Agreement art. <b>38.1</b>	Grant Agreement art. <b>29</b>

Informing about project

Informing about results

Making results available for use

- Newsletter
- Press release
- Project factsheet, brochures
- Social media (blogs, Twitter, Facebook, LinkedIn)

- Project website videos
- Interviews
- Articles in magazines
- Exhibitions/ open days
- Conference
- Presentation

- Scientific Publications
- Policy briefs / roadmap
- Training/ workshop/ demos
- Sharing results on online repository (research data, software, reports)

# Communication



- Starts at the **outset of the action** and **continues** throughout its entire lifetime
- **Strategically planned** (comprehensive communication plan)
- Identifies **clear communication objectives**
- **Targeted and adapted to audiences** that go beyond the project's own community including the media and the public
- Chooses **pertinent messages**
- Uses the right **medium and means**

## Related links

The brochure [Communicating EU research and innovation guidance for project participants](#) which gives you an overview of best practices and a check list on how to build a communication strategy

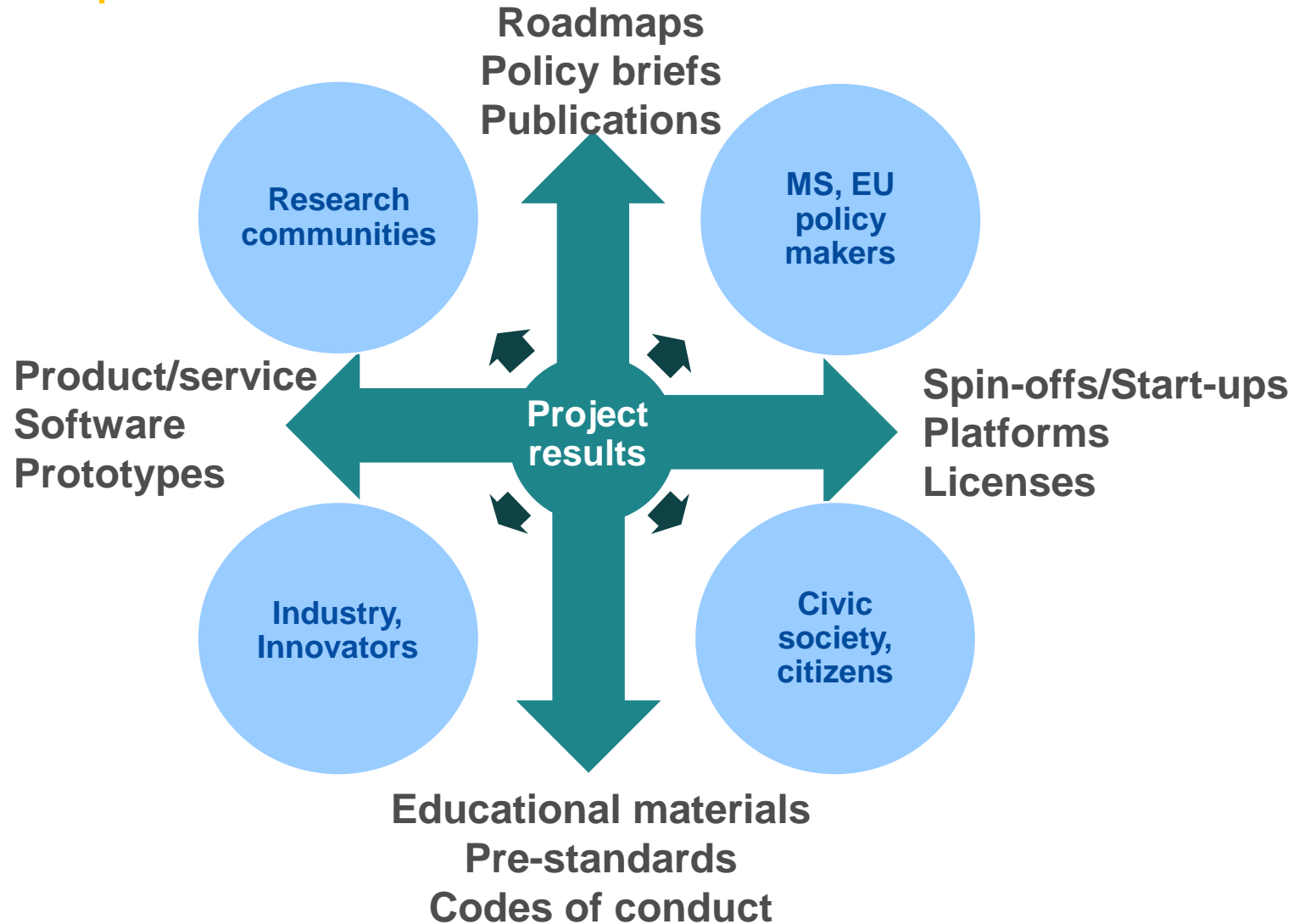
[Annotated Model Grant Agreement, Article 38](#) to learn more about how communication and promoting the action should be done for Horizon 2020 actions

The [EU Guide to Science Communication](#), a couple of short videos about science communication in general, and some specific tips on how to improve your communication efforts

The [60-minute workout webinar](#) to increase the communication impact of your project



# Exploitation



Exploitation is about making use of project results

Results are any tangible or intangible output of the action, such as data, knowledge and information

# Acknowledgement of EU Funding

*The following must be included in all dissemination and communication activities:*



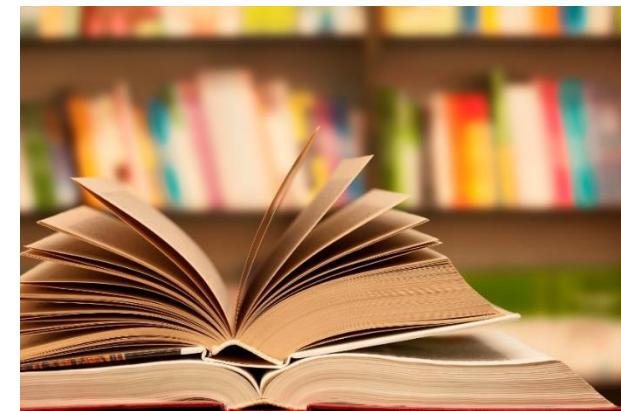
*This project has received funding from the [European Union's Horizon 2020 research and innovation programme][European Research Council (ERC) under the European Union's Horizon 2020 research and innovation programme][Euratom research and training programme 2014-2018] under grant agreement No [number]*

**Use the EU logo** High-resolution logos are available here:

[http://ec.europa.eu/research/participants/docs/h2020-funding-guide/grants/grant-management/acknowledge-funding\\_en.htm](http://ec.europa.eu/research/participants/docs/h2020-funding-guide/grants/grant-management/acknowledge-funding_en.htm)

# Open access

Mandatory Open Access (OA) to peer-reviewed Scientific Publications (Art.29.2)



What to do?

**Step 1** Depositing publications in repositories

Deposit a machine-readable electronic copy of the published version or final peer-reviewed manuscript accepted for publication in a repository for scientific publications

[H2020 Online Manual, Step 1 – Depositing publications in repositories](#)

**Step 2** Providing open access to them

2 main routes to open access:

- Self-archiving / **'green' open access**  
(acceptable embargo for **green** OA: 6Ms; up to 12Ms for SSH)
- Open access publishing / **'gold' open access**  
=>Article Processing Charges (APCs) eligible costs

**Both steps necessary!**

They may or may not occur simultaneously, depending on whether open access publishing (**'gold' open access**) or self-archiving (**'green' open access**) is used, and, in the case of self-archiving, depending on the embargo period (if any).

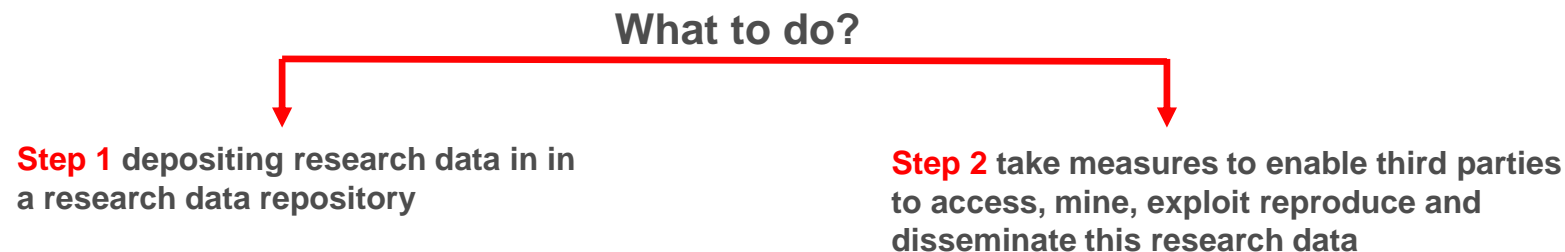
# Open access

## Open access to research data (Art. 29.3)

*a.k.a. the Open Research Data Pilot (ORDP)*

**Types of data covered:** 'underlying data' (the data needed to validate the results presented in scientific publications), including the associated metadata (i.e. metadata describing the research data deposited), any other data as specified in the DMP

**Guiding Principle:** “as open as possible, as closed as necessary”



- A **Data Management Plan (DMP)** is required for all projects participating in the extended ORDP (unless opt out of the ORDP)
- DMP is submitted as a deliverable (M6 + **update on regular basis**/ at each Periodic Reporting).
- DMP describes the data management life cycle. As a part of making research data findable, accessible, interoperable and re-usable (**FAIR**), the DMP should include information on: handling of research data during project & beyond; what data will be collected, processed and/or generated; which methodology & standards will be applied; whether data will be shared/made open access and how data will be curated & preserved (including after the end of the project).
- [Guidance and DPM template](#)

# Policy Feedback

# Policy feedback

- **What is policy feedback?**
  - A mechanism through which your project results feed into current and/or future policy-making
- **Why is policy feedback necessary?**
  - to monitor the achievement of policy objectives
  - to encourage evidence-based policy making
  - to fine-tune policy, where necessary
- **What's in it for you?**
  - you actively feed into EU policy discussions
  - you interact with similar projects
  - you promote your own project
- **Type of policy feedback activities during project life-time**
  - policy brief as deliverable at each reporting period
  - ad hoc requests e.g. for monitoring purposes
  - partake in cluster events



# Policy feedback: Policy Brief

## Main elements

- supports EC in **monitoring** achievements & impact
- basis for reflection on potential **recommendations**
- means for ensuring evidence-based policy making

## Format

- brief should be max. 3 pages long

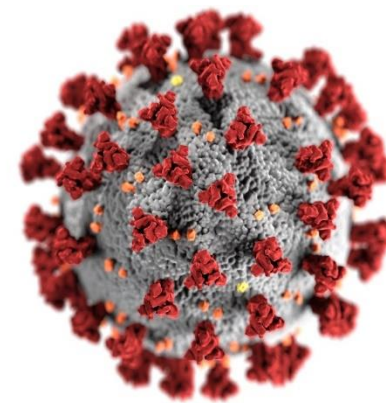
EUROPEAN POLICY BRIEF	
LOGO of the project	TITLE Short description of the document's subject
DATE	
INTRODUCTION	
[Succinctly describe the relevant policy problem and relate your evidence to the task of addressing it. The introduction to a policy brief is not an academic abstract or a summary of the research project. Procedural details and methodology are described later in the brief. – 1 page]	
EVIDENCE AND ANALYSIS	
[Enumerate your most policy-relevant findings with basic contextual orientation. The data and analysis offered in the Evidence and Analysis section of the policy brief should support the recommendations that follow. – 3-4 pages]	
POLICY IMPLICATIONS AND RECOMMENDATIONS	
[State the policy implications of your findings and, where appropriate, offer recommendations. – 1-2 pages]	
- EUROPEAN POLICY BRIEF - Page   1	

RESEARCH PARAMETERS	
[Describe the project's objectives and methodology. – 1 page]	
PROJECT IDENTITY	
PROJECT NAME	Give the full name followed by the acronym in parentheses.
COORDINATOR	Indicate the name, institution, city, country and e-mail address.
CONSORTIUM	List all participating entities (institutions). Order the institutions alphabetically, on the first line, followed by the academic unit on the next line. Below that, place the city and the country. Example: Hellenic Foundation for European and Foreign Policy – ELIAMEP – Athens, Greece
FUNDING SCHEME	Provide details of the specific funding programme. Example: Horizon 2020 Framework Programme for Research and Innovation (2014-2020), Societal Challenge 6 – Europe in a changing world: inclusive, innovative and reflective societies, call YOUNG-2015, topic "Lifelong learning for young adults: better policies for growth and inclusion in Europe".
DURATION	List the dates (month and year) when a project began and will be ended, then the total number of months of its duration (in parentheses). Example: September 2014 – August 2017 (36 months).
BUDGET	Indicate the EU budget contribution. Example: EU contribution: 1 895 000 €.
WEBSITE	Give the URL of the project website.
FOR MORE INFORMATION	Provide the names and e-mail addresses of one or two project participants who have agreed to serve as general contact persons. Place the word "Contact" in front of the first full name.
FURTHER READING	List up to five current or forthcoming publications the project has produced that might be of interest to policymakers.
- EUROPEAN POLICY BRIEF - Page   2	

# Useful Links

- [CORDIS](#)
- [Horizon Dashboard](#)
- [Annotated Grant Agreement](#)
- [IT How to](#)
- [Grant Management Service tool](#)
- [H2020 Online Manual](#)
- [Ethics](#)
- [Communicating EU research and innovation guidance for project participants](#)
- [EU Guide to Science communication](#)
- [Social media guide for EU funded projects](#)
- [Guide on Exploitation and Dissemination](#)
- [Open access guidance](#)

[Horizon 2020 FAQs](#)  
on COVID-19 crisis  
on Funding & Tender  
Opportunities Portal



# Thank you



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